



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/687,759	10/12/2000	Scott Hemphill	254/255

Brent D Sokol
633 West Fifth Street
Suite 4700
Los Angeles, CA 90071-2066

FORMALITIES LETTER



OC00000005753935

Date Mailed: 02/12/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$7492.
 - \$2052 for 114 total claims over 20.
 - \$5440 for 68 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 8332.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

file://C:\APPS\preexam\correspondence\2_B.xml

2/9/01

03/12/2001 HJUN01 00000038 122475 09/687759
01 FC:201 355.00 CH
02 FC:205 65.00 CH
03 FC:203 610.00 CH
04 FC:202 1800.00 CH



3-9-01

sector
Patent
Attorney Docket: 254/255
#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Inventor: REINITZ, Dr. Ilene et al.

Serial No.: 09/687,759

Filed: October 12, 2000

For: SYSTEMS AND METHODS FOR
EVALUATING THE APPERANCE OF A
GEMSTONE

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

TRANSMITTAL OF MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on February 12, 2001, enclosed are:

- ☒ Declaration
- ☒ Power of Attorney: ☒ Separate or ☐ Combined with Declaration
- ☒ Assignment of the invention to Gemological Institute of America, Inc. (together with PTO Form 1595)

LA-185530.1

CERTIFICATE OF MAILING
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL533094857US
Express Mail Label No.

3/8/01
Date of Deposit

Cheryl Boberg
Name of Person Mailing Paper

Cheryl Boberg
Signature of Person Mailing Paper

☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

☐ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$195.00	\$390.00
<input type="checkbox"/> three months	\$445.00	\$890.00
<input type="checkbox"/> four months	\$695.00	\$1,390.00
<input type="checkbox"/> five months	\$945.00	\$1,890.00

Fee

☒ If any extension fee is required, please consider this a petition therefor.

III. FILING FEES

☒ Applicant claims small entity status pursuant to 37 CFR 1.27.

BASIC FILING FEE:						\$710.00
Total Claims	110	-	20	=	90 x \$18.00	\$1,620.00
<small>(Please note that 90 total claims over 20 were counted and not 114 total claims over 20)</small>						
Independent Claims	48	-	3	=	45 x \$80.00	\$3,600.00
<small>(Please note that 45 independent claims over 3 were counted and not 68 independent claims over 3)</small>						
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS						\$6,060.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28.						<input checked="" type="checkbox"/> \$3030.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						<input checked="" type="checkbox"/> \$40.00
TOTAL FEES SUBMITTED HERewith						\$3,070.00

IV. METHOD OF PAYMENT OF FEES:

- ☐ A check in the amount of _____ is enclosed to cover the above fee(s).
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$3,070.00**.
- ☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **12-2475**.

Respectfully submitted,

LYON & LYON LLP

Dated: _____

3/01/01

By: _____

Brent D. Sokol
Brent D. Sokol
Reg. No. 38,621



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(213) 489-1600